



Mutual Fund Investment

Quickstart Guide

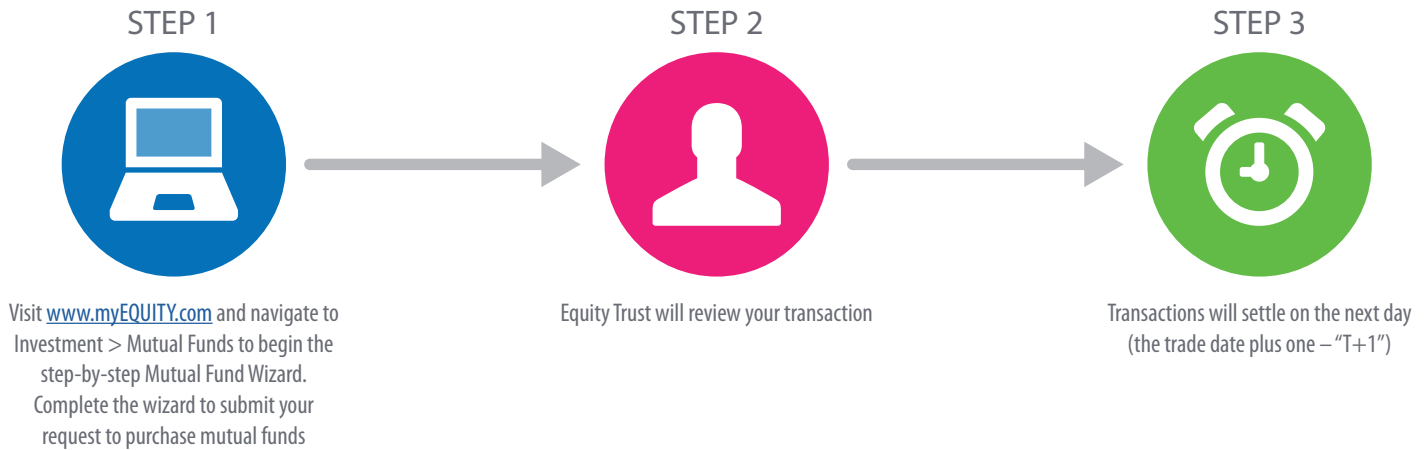
Equity Trust Company provides clients the freedom to invest in both alternative and traditional investment options, including mutual funds. As a benefit to our clients, Equity Trust does not charge transaction fees on the purchase or sale of mutual funds.* (Please review Equity Trust Company's current fee schedule as additional fees may apply for special handling services.)

Our account management system myEQUITY provides a simple, straightforward way to quickly invest in mutual funds. Using the Mutual Fund Wizard, you are able to select and submit your request for the purchase or sale of a mutual fund, all online. Equity Trust will then facilitate your transaction, sending the trade request along with the necessary funds from your account to the fund.

3 Steps to Investing in Mutual Funds in Your Self-Directed Account

Once you have opened your Equity Trust account, follow these steps to invest in mutual funds with any internet-connected device.

* Fees may apply to trades. Refer to your fund's Prospectus for more information.



Mutual Fund Investment Timeline

If you are investing in a mutual fund, the first purchase does require some additional set-up and will take 48 hours for Equity Trust to review and process. Additional purchases in a fund will typically be completed in one business day.

For any questions regarding the process to invest in or manage mutual fund assets, please contact our Client Services Team at **1-888-382-4727, option 2**, between 9 a.m. – 6 p.m. ET, Monday through Friday.

Contact Us.

For more information or to begin investing with an Equity Trust self-directed account, contact:

855-673-4721

www.TrustETC.com
help@TrustETC.com

The Equity Trust Advantage

You have your choice of self-directed IRA custodians. So why choose Equity Trust? The difference is clear.

- Nearly limitless investment options include stocks, bonds, mutual funds, real estate, private entities, cryptocurrency, and more
- State-of-the-art account management system myEQUITY provides easy, around-the-clock account and investment management capabilities from any device
- More than 35 years as an industry-leading custodian specializing in alternative assets
- Clients from all 50 states have entrusted us to be the administrator of over \$28.7 billion in retirement assets (as of 3/1/2020)
- Dedicated representatives provide personal service
- Regular educational and inspirational opportunities include newsletters, webinars, videos, case studies, in-person events, resource guides, and more.

Q: What is a mutual fund?

A: A mutual fund, as defined by www.investor.gov, is a company that pools money from many investors and invests the money in securities such as stocks, bonds, and short-term debt. The combined holdings of the mutual fund are known as its portfolio. Investors buy shares in mutual funds. Each share represents an investor's part ownership in the fund and the income it generates.

Most mutual funds fall into one of four main categories: money market funds, bond funds, stock funds, and target date funds. Each type has different features, risks, and rewards.

Q: What should I consider before investing in mutual funds?

A: Please discuss your specific needs and circumstances with your Financial Advisor to determine the fund(s) that may be best suited for your investment needs. Review each fund's Prospectus and Statement of Additional Information (SAI) for detailed information specific to that fund.

For additional information on mutual funds and share class options available, the following resources are available:

- Securities and Exchange Commission
- FINRA
- Securities Industry and Financial Markets Association
- Investment Company Institute

Q: What if I am interested in a mutual fund that is not available within the myEQUITY online wizard?

A: We may still be able to facilitate your investment. Please contact us at: **1-888-382-4727, option 2** to review possible alternative processes for investing that may be available.

Q: Where can I check the status of my purchase transaction?

A: If there are any questions related to the status of your purchase, information can be found on the Account Activities page within myEQUITY.

If additional information is needed to process your transaction, it can be reviewed by clicking on the activity from this page.